

## PAPER

# Associations between children's socioeconomic status and prefrontal cortical thickness

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## Abstract

Childhood socioeconomic status (SES) predicts executive function performance and measures of prefrontal cortical function, but little is known about its anatomical correlates. Structural MRI and demographic data from a sample of 283 healthy children from the NIH MRI Study of Normal Brain Development were used to investigate the relationship between SES and prefrontal cortical thickness. Specifically, we assessed the association between two principal measures of childhood SES, family income and parental education, and gray matter thickness in specific subregions of prefrontal cortex and on the asymmetry of these areas. After correcting for multiple comparisons and controlling for potentially confounding variables, parental education significantly predicted cortical thickness in the right anterior cingulate gyrus and left superior frontal gyrus. These results suggest that brain structure in frontal regions may provide a meaningful link between SES and cognitive function among healthy, typically developing children.

## Introduction

Children who grow up in poverty tend to have lower IQs and academic achievement scores and are less likely to develop basic reading and mathematics proficiency than their higher-SES counterparts (Brooks-Gunn & Duncan, 1997; Gottfried, Gottfried, Bathurst, Guerin & Parramore, 2003; Sirin, 2005). These outcome measures, while clinically meaningful, reflect the combined influence of many specific neurocognitive systems. It is these underlying systems that mediate the association between SES and cognitive performance and provide possible targets for interventions designed to reduce SES disparities. The methods of cognitive neuroscience, such as neuropsychological testing and structural brain imaging, can help to identify specific neurocognitive systems that vary along socioeconomic gradients.

The goal of the present study is to investigate the relation between SES and prefrontal cortical thickness in healthy normal children. We focus on prefrontal cortex for three reasons. First, this brain region is essential for executive function, which is associated with academic

success (Blair & Diamond, 2008; Ursache, Blair & Raver, 2011) and intelligence as measured by psychometric tests (Deary, Penke & Johnson, 2010). Second, the long developmental trajectory of prefrontal cortex (Casey, Giedd & Thomas, 2000; Gogtay, Giedd, Lusk, Hayashi, Greenstein, Vaituzis, Nugent, Herman, Clasen, Toga, Rapoport & Thompson, 2004), and its sensitivity to environmental factors including stress (McEwen & Gianaros, 2011), suggest that differences in the experiences of lower and higher SES children could impact prefrontal development. Third, and most directly relevant, many studies have found SES differences in executive function and in prefrontal activity.

In children ranging from infancy to adolescence, SES has been found to correlate with executive function as measured by many different tasks (Ardila, Rosselli, Matute & Guajardo, 2005; Lipina, Martelli, Vuelta & Colombo, 2005; Lipina, Martelli, Vuelta, Injoque-Ricle & Colombo, 2004; Mezzacappa, 2004; Sarsour, Sheridan, Jutte, Nuru-Jeter, Hinshaw & Boyce, 2011) and as measured by latent executive function constructs derived from multiple executive function tasks (Blair, Granger,

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1 Willoughby, Mills-Koonce, Cox, Greenberg, Kivlighan,  
2 Fortunato & the FLP Investigators, 2011; Hughes,  
3 Ensor, Wilson & Graham, 2010; Rhoades, Greenberg,  
4 Lanza & Blair, 2011; Wiebe, Sheffield, Nelson, Clark,  
5 Chevalier & Espy, 2011). Furthermore, in studies where  
6 multiple neurocognitive systems have been assessed,  
7 executive function appears to be disproportionately  
8 affected by SES (Farah, Shera, Savage, Betancourt,  
9 Giannetta, Brodsky, Malmud & Hurt, 2006; Noble,  
10 McCandliss & Farah, 2007; Noble, Norman & Farah,  
11 2005). In addition, event-related potential (ERP) studies  
12 in children have demonstrated SES differences in mea-  
13 sures of selective attention associated with prefrontal  
14 cortex (D'Anguilli, Herdman, Stapells & Hertzman,  
15 2008; Kishiyama, Boyce, Jimenez, Perry & Knight, 2009;  
16 Stevens, Lauinger & Neville, 2009), and a functional  
17 magnetic resonance imaging (fMRI) study has shown  
18 SES differences in the degree to which prefrontal cortical  
19 areas are recruited during a nonverbal stimulus-response  
20 learning task (Sheridan, Sarsour, Jutte, D'Esposito &  
21 Boyce, 2012). Other behavioral and electrophysiological  
22 evidence regarding SES differences in executive function  
23 and prefrontal activity are reviewed by Hackman and  
24 Farah (2009), who find the vast majority, but not all, of  
25 the relevant published studies show that higher SES in  
26 children is accompanied by higher executive function  
27 and/or more mature or advantageous patterns of  
28 brain activity. Prior studies have quantified SES  
29 through parental education, total family income, family  
30 income-to-needs ratio and combinations of education  
31 and income measures.

32 Relatively few published studies report the effects of  
33 childhood SES on brain structure, and most have  
34 focused on regions other than prefrontal cortex. SES as  
35 measured by family income, but not parental education,  
36 has been found to predict hippocampal gray matter  
37 volume in a large sample of healthy children between the  
38 ages of 4 and 18 (Hanson, Chandra, Wolfe & Pollack,  
39 2011). A separate study of 60 children yielded a similar  
40 result, with hippocampal volume predicted by family  
41 income-to-needs ratio and not parental education  
42 (Noble, Houston, Kan & Sowell, 2012). That study also  
43 found that amygdala volume was predicted by education  
44 but not by income-to-needs. Most relevant to prefrontal  
45 areas, this study also revealed an interaction between age  
46 and parental education (but not a main effect of parental  
47 education) in left perisylvian areas including the left  
48 inferior frontal gyrus. A marginally significant correla-  
49 tion between SES and inferior frontal gyrus gray matter  
50 volume was observed in a small sample of 5-year-old  
51 children (Raizada, Richards, Meltzoff & Kuhl, 2008),  
52 and another study of 10-year-old children found a  
53 positive correlation between SES and gray matter

volume in a number of brain regions, including bilateral  
hippocampi, middle temporal gyri, left fusiform and  
right inferior occipito-temporal gyri (Jednoróg, Altarelli,  
Monzalvo, Fluss, Dubois, Billard, Dehaene-Lambertz &  
Ramus, 2012), as well as greater gyrification with higher  
SES in medial prefrontal regions.

In a large sample of typically developing children in the  
NIH MRI Study of Normal Brain Development, family  
income and parental education were not found to  
significantly predict whole-brain or gross regional vol-  
umes, including frontal lobe volume (Brain Development  
Cooperative Group, 2012; Lange, Froimowitz, Bigler,  
Lainhart & Brain Development Cooperative Group,  
2010). This same data set was also analyzed by Andrew  
Beck, whose results are reported in an unpublished  
undergraduate thesis (2010), relating overall prefrontal  
gray matter volume to SES. Beck found a weak but  
statistically significant relation between family income  
and gray matter volume in this data set. Because a large  
body of literature suggests that subregions of prefrontal  
cortex are specialized for different aspects of executive  
function (Stuss & Knight, 2002), the current study  
extends prior work by investigating subregions of  
prefrontal cortex, rather than prefrontal cortex as a whole.

Unlike previous studies, which used volumetric mea-  
sures of morphology, the current study used a measure of  
cortical thickness. Cortical thickness is defined in neu-  
roimaging studies as the shortest distance between the  
white matter surface and pial gray matter surface. This  
quantitative measurement provides a direct index of  
cortical morphology that can be measured reliably using  
multiple approaches (Lerch & Evans, 2005). Further-  
more, cortical thickness is a more specific measure of  
brain morphology than gray matter volume. Gray matter  
volume is a function of both cortical thickness and  
surface area, which are genetically and phenotypically  
independent (Winkler, Kochunov, Blangero, Almasy,  
Zilles, Fox, Duggirala & Glahn, 2010). Cortical thickness  
has been shown to be a meaningful index of brain  
development, showing developmental changes that may  
reflect the process of synaptic proliferation and pruning  
or the effect of myelination on the measurement of  
thickness (Giedd, Blumenthal, Jeffries, Castellanos, Liu,  
Zijdenbos, Paus, Evans & Rapoport, 1999; Paus, 2005;  
Shaw, Kabani, Lerch, Eckstrand, Lenroot, Gogtay,  
Greenstein, Clasen, Evans, Rapoport, Giedd & Wise,  
2008; Sowell, Thompson, Leonard, Welcome, Kan &  
Toga, 2004). Cortical thickness has also shown associ-  
ations with cognitive ability (Porter, Collins, Muetzel,  
Lim & Luciana, 2011; Shaw, Greenstein, Lerch, Clasen,  
Lenroot, Gogtay, Evans, Rapoport & Giedd, 2006) and  
behavior (Ducharme, Hudziak, Botteron, Albaugh,  
Nguyen, Karama, Evans & the Brain Development

Cooperative Group, 2012; Shaw, Gilliam, Liverpool, Weddle, Malek, Sharp, Greenstein, Evans, Rapoport & Giedd, 2011) among healthy children.

To systematically investigate the relationship between socioeconomic status and cortical thickness in frontal brain regions, the current study used SES measures to predict cortical thickness in 10 prefrontal regions of interest (ROIs) in healthy children from the first time point of data collection in the NIH MRI Study of Normal Brain Development, the same large data set referred to earlier (Evans, 2006; Brain Development Cooperative Group, 2012; Lange *et al.*, 2010). Furthermore, due to literature suggesting that socioeconomic status may relate to lateralization development (see Boles, 2011, for a review), three frontal asymmetry measures were also used as outcome measures of interest. Because of an existing report of an SES by age interaction in the left inferior frontal gyrus (Noble *et al.*, 2012), an additional analysis investigated age as a possible moderator of SES effects on prefrontal cortical thickness. The predictive power of family income and parental education were assessed separately because of recent literature suggesting that different measures of SES have unique relationships with cognitive outcomes (Duncan & Magnuson, 2012) and structural phenotypes (Hanson *et al.*, 2011; Noble *et al.*, 2012).

## Method

### Participants

Data used in the preparation of this article were obtained from the NIH Pediatric MRI Data Repository created by the NIH MRI Study of Normal Brain Development (Evans, 2006; website: <https://nihpd.crbs.ucsd.edu/nihpd/info/index.html>), a public-access database designed to be a research tool for investigations of healthy brain and behavior development. This is a multisite, longitudinal study of typically developing children from ages newborn through young adulthood conducted by the Brain Development Cooperative Group and supported by the National Institute of Child Health and Human Development, the National Institute on Drug Abuse, the National Institute of Mental Health, and the National Institute of Neurological Disorders and Stroke (Contract #s N01-HD02-3343, N01MH9-0002, and N01-NS-9-2314, -2315, -2316, -2317, -2319 and -2321). A listing of the participating centers and a complete listing of the study investigators can be found at: [https://nihpd.crbs.ucsd.edu/nihpd/info/participating\\_centers.html](https://nihpd.crbs.ucsd.edu/nihpd/info/participating_centers.html).

As part of Objective 1 of the study, structural MRI, behavioral and clinical measures were collected at three

time points for 433 healthy children and adolescents between the ages of 4:6 and 18:3 years; the present analysis uses data from the first time point (release 4.0). The Institutional Review Board at the University of Pennsylvania also approved the analysis of these human subjects data.

Participating children had been screened using rigorous demographic, prenatal history, physical, behavioral, family history, and neurological criteria (see Evans, 2006, for a full description of inclusionary and exclusionary criteria). Data collection occurred at six pediatric study centers in major urban areas, and population-based sampling was used to obtain a demographically representative sample (Evans, 2006).

A self-report measure of family income was obtained in 10 possible levels: 0–\$5,000, \$5,001–\$10,000, \$10,001–\$15,000, \$15,001–\$25,000, \$25,001–\$35,000, \$35,001–\$50,000, \$50,001–\$75,000, \$75,001–\$100,000, \$100,001–\$150,000, and over \$150,000. Parental education level was measured in six possible categories for each parent: less than high school, high school, some college, college, some graduate level, graduate level. Finally, race (White, African American/Black, Asian, American Indian/Alaskan Native, Native Hawaiian/Pacific Islander) and ethnicity (Hispanic or Latino, Not Hispanic or Latino) were reported for each parent.

Of the 431 children with behavioral data from the first time point, 283 children had available MRI data that met quality control standards as well as available data for all covariates used in analysis. Demographic data for the children used in analysis are summarized in Table 1. The subset of children used in analysis did not differ from the excluded children in sex ( $t(429) = -.79, p = .43, d = -.08$ ), IQ ( $t(378) = -1.8, p = .07, d = -.21$ ), parental education ( $t(427) = -.45, p = .43, d = -.05$ ) or family income ( $t(429) = -.22, p = .83, d = -.02$ ). However, the MRI sample had a significantly older age ( $t(429) = -8.96, p < .001, d = -.90$ ) than the sample of children without MRI data or covariates. The mean age for children in the MRI sample was 11.47 years ( $SD = 3.50$  years).

### SES indicators

Family income was estimated as the midpoint of the reported income range (as summarized in Table 1) and was adjusted for household size based on adjustments used by the US Department of Housing and Urban Development (HUD) to define the highest income level at which a family qualifies for public assistance. Each parent's education level was assigned a value from 1 to 6 (Less than High School = 1, High School = 2, Some College = 3, College = 4, Some Graduate Level = 5,

**Table 1** Demographic information for the sample of children used in analyses ( $N = 283$ )

Variable	$n$ (%)	Mean ( $SD$ )
Age (in years)		11.46 (3.50)
Female	151 (53.36)	
Family Income Category		
<\$5000	1 (0.35)	
\$5,001–\$10,000	2 (0.71)	
10,001–\$15,000	3 (1.06)	
15,001–\$25,000	7 (2.47)	
25,001–\$35,000	10 (3.53)	
35,001–\$50,000	47 (16.61)	
50,001–\$75,000	68 (24.03)	
75,001–\$100,000	77 (27.21)	
\$100,001–\$150,000	68 (24.03)	
over \$150,000	0 (0)	
Family-size Adjusted Family Income		76169.13 (33022.32)
Maternal education		
Less than High School	2 (0.71)	
High School	43 (15.19)	
Some College	78 (27.56)	
College	94 (33.22)	
Some Graduate Level	13 (4.59)	
Graduate Level	53 (18.73)	
Paternal education		
Less than High School	7 (2.47)	
High School	56 (19.79)	
Some College	74 (26.15)	
College	79 (27.92)	
Some Graduate Level	10 (3.53)	
Graduate Level	57 (20.14)	
Parental education (square root transformed)		7.53 (2.31)
Maternal race		2.71 (.43)
White	235 (83.04)	
African American/Black	23 (8.13)	
Asian	4 (1.41)	
American Indian/Alaskan Native	1 (0.35)	
Native Hawaiian/Pacific Islander	0 (0)	
Multiple races listed	3 (1.06)	
Not provided	17 (6.00)	
Maternal ethnicity		
Not Hispanic or Latino	264 (93.29)	
Hispanic or Latino	19 (6.71)	
Paternal race		
White	222 (78.44)	
African American/Black	24 (8.48)	
Asian	5 (1.77)	
American Indian/Alaskan Native	2 (0.71)	
Native Hawaiian/Pacific Islander	2 (0.71)	
Multiple races listed	8 (2.83)	
Not provided	20 (7.07)	
Paternal ethnicity		
Not Hispanic or Latino	259 (91.52)	
Hispanic or Latino	24 (8.48)	

Graduate Level = 6). Maternal education level and paternal education level were summed for each child in order to create a parental education index with possible values from 2 to 12. The parental education variable was square-root transformed in order to reduce violations of normality assumptions.

### Image processing

Multi-spectral MRI data were collected on 1.5T scanners in six imaging centers in the US. Data from the American College of Radiology (ACR) phantom and a human phantom were used to normalize acquisition across scanners (Evans, 2006) and minimize inter-site variability in image quality. During image acquisition, data from the American College of Radiology (ACR) phantom and a human phantom were used to normalize acquisition across scanners (Evans, 2006). In the current study, we processed the T1-weighted MR images. Image processing was based on the open-source program Advanced Normalization Tools (ANTS; <http://www.picsl.upenn.edu/ANTS/>) and the associated pipelining framework PipeDream (sourceforge neuropipedream). ANTS was used to create a population averaged template. The template was initialized using data from 31 subjects who had 1 mm isotropic T1-weighted images and were representative of the sample in terms of age, sex, scan site, and SES. In the final iteration of template building, all subjects were included. We combined multi-atlas labeling techniques (Heckemann, Hajnal, Aljabar, Rueckert & Hammers, 2006) with publicly available brain labeling data sets to perform brain masking (Shattuck, Mirza, Adisetiyo, Hojatkashani, Salamon, Narr, Poldrack, Bilder & Toga, 2007), three tissue segmentation (<http://www.nirep.org/>) and cortical parcellation (Hammers, Allom, Koeppe, Free, Myers, Lemieux, Mitchell, Brooks & Duncan, 2003) in the template. Each subject was then processed using PipeDream which uses the symmetric normalization methodology (Avants, Tustison, Song, Cook, Klein & Gee, 2011a) to diffeomorphically normalize each subject to a template. The template segmentations were then propagated into subject space and used as priors for the Markov Random Field approach implemented in the ANTS tool Atropos, which has been validated on public datasets (Avants, Tustison, Wu, Cook & Gee, 2011b). Cortical thickness was estimated using Diffeomorphic Registration Based Cortical Thickness (DiReCT; Das, Avants, Grossman & Gee, 2009). DiReCT uses diffeomorphic mapping within a prior-constrained estimate of the distance between the gray/white interface and the gray/cerebrospinal fluid interface to estimate cortical thickness (Das *et al.*, 2009). Each cortical region of interest was defined by multiplying the subjects' cortical segmentation by the region of interest label. The mean cortical thickness was then computed within the cortical ROI.

### Regions of interest

The selection of regions of interest (ROIs) was guided by the literature reviewed earlier on neurocognitive SES

disparities, as well as the literature on the effects of stress on brain development. Regions associated with executive functions found to differ as a function of childhood SES included left and right superior frontal gyri, left and right middle frontal gyri, left and right inferior frontal gyri, and left and right anterior cingulate gyri. Regions susceptible to stress also include the left and right anterior cingulate gyri and left and right orbitofrontal gyri.

In addition, three asymmetry measures were calculated as follows and treated as a priori measures of interest: left-minus-right superior frontal gyrus, left-minus-right middle frontal gyrus, left-minus-right inferior frontal gyrus.

### Statistical approach

Analyses used hierarchical linear regression executed in Statistical Package for the Social Sciences to predict cortical thickness in each region of interest from parental education and family income. Using an approach similar to Noble *et al.* (2012), potentially confounding variables were entered in the first step of a hierarchical linear regression model, and SES variables (parental education and family income) were added in the second step of the model.

In the first step, ROI thickness was predicted from age (in days), sex, total brain volume, Full-Scale IQ (Wechsler, 1999), body mass index (BMI), and child/race ethnicity (dummy-coded as 'Non-White' or 'White'). While it has been argued that it is often unjustified to control for IQ in studies of neurocognitive outcomes (Dennis, Francis, Cirino, Schachar, Barnes & Fletcher, 2009), previous studies with this dataset report associations between cortical thickness and IQ (Karama, Ad-Dab'bagh, Haier, Deary, Lyttelton, Lepage, Evans & the Brain Development Cooperative Group, 2009; Karama, Colom, Johnson, Deary, Haier, Waber, Ganjavi, Jung, Evans & the Brain Development Cooperative Group, 2011), suggesting that IQ should be considered a possible confounding variable. Body mass index, calculated as  $(\text{Weight in kg})/(\text{height in m})^2$ , was used as a covariate because it has been shown to significantly predict structural measures, including whole-brain gray matter and white matter volume in the visit 1 NIHPD data (Brain Development Cooperative Group, 2012). The BMI variable was winsorized due to an extreme outlier. Child race/ethnicity was coded based on reported parental race/ethnicity, and only two categories were used in order to prevent the creation of categories with small numbers of children. Children in the 'Non-White' group ( $n = 72$ ) had a mean family income of \$63,841.16 ( $SD = \$34,481.63$ ) and a mean parental education of 2.53

( $SD = .39$ ) on the square-root transformed scale. Children in the 'White' group ( $n = 211$ ) had a mean family income of \$80,375.83 ( $SD = \$31,503.54$ ) and a mean parental education of 2.77 ( $SD = .42$ ). Scan site was not used as a covariate because socioeconomic status was not evenly distributed across testing sites (parental education  $F(5, 277) = 4.80, p < .001$ ; family income:  $F(5, 277) = 2.12, p = .06$ ), so including scan site as a covariate would reduce SES variability.

Critically, in the next step, parental education and family income were added to the model. Change in model  $R^2$  and  $F$  statistics are reported along with regression coefficients. Finally, SES by age interaction terms were added to the model for each ROI. Bonferroni correction was used to correct for multiple comparisons of 13 regions of interest by setting the significance threshold at  $\alpha = .0038$  (e.g.  $.05/13$ ), and results are reported using both uncorrected ( $p < .05$ ) and corrected ( $p < .0038$ ) alpha levels.

### Results

The parental education and family income variables were significantly correlated with each other ( $r = .57, p < .001$ ) and with full scale IQ (parental education  $r = .41, p < .001$ ; family income  $r = .35, p < .001$ ). Neither SES variable was significantly correlated with sex, age, BMI, or total brain volume (all  $p$ -values  $> .07$ ).

Without correcting for multiple comparisons, four prefrontal regions and one asymmetry measure showed significant relations with SES as measured by improved regression model fit when SES indices are added: Using a threshold of  $p < .05$ , a significant change in the model  $F$  statistic was found after adding parental education and family income to the model in the left anterior cingulate gyrus ( $\Delta F(2, 274) = 3.20, p = .04, \Delta R^2 = .02$ ), right anterior cingulate gyrus ( $\Delta F(2, 274) = 8.10, p < .001, \Delta R^2 = .05$ ), left superior frontal gyrus ( $\Delta F(2, 274) = 6.09, p = .003, \Delta R^2 = .04$ ), right superior frontal gyrus ( $\Delta F(2, 274) = 4.09, p = .018, \Delta R^2 = .024$ ), and superior frontal asymmetry measure ( $\Delta F(2, 274) = 5.25, p = .006, \Delta R^2 = .04$ ). Change in model  $R^2$  and regression coefficients for the SES variables for all ROIs are displayed in Table 2.

After Bonferroni correction, two regions remained significantly related to SES: the right anterior cingulate gyrus (mean thickness = 2.37 mm;  $SD = .32$ ) and left superior frontal gyrus (mean thickness = 2.66 mm,  $SD = .31$ ), exceeding the corrected threshold of  $p < .0038$ . Results of the hierarchical regression for the right anterior cingulate gyrus and left superior frontal gyrus are shown in Table 3. In both cases, parental education significantly

**Table 2** Change in  $R^2$  and change in  $F$ -value for all ROIs after adding SES variables to the model

ROI	Model change when SES variables are added to the model		Regression coefficients for SES variables	
	$R^2$ change	$F$ change ( $p$ )	SES variable	Beta ( $p$ )
Left inferior frontal gyrus	0.004	0.779 (.460)	Parental education	-.085 (.215)
			Family income	.034 (.610)
Right inferior frontal gyrus	0.005	0.803 (.449)	Parental education	-.065 (.338)
			Family income	-.017 (.803)
Left middle frontal gyrus	0.001	0.219 (.803)	Parental education	.038 (.568)
			Family income	$7.34 * 10^{-4}$ (.991)
Right middle frontal gyrus	$9.38 * 10^{-5}$	0.017 (.983)	Parental education	.012 (.853)
			Family income	-.007 (.918)
Left superior frontal gyrus	0.036	6.094 (.003)	Parental education	.240 ( $6.09 * 10^{-4}$ )
			Family income	-.091 (.179)
Right superior frontal gyrus	0.024	4.085 (.018)	Parental education	.196 (.005)
			Family income	-.127 (.065)
Left anterior cingulate gyrus	0.022	3.198 (.042)	Parental education	.187 (.013)
			Family income	-.110 (.134)
Right anterior cingulate gyrus	0.054	8.098 ( $3.83 * 10^{-4}$ )	Parental education	.288 ( $1.24 * 10^{-4}$ )
			Family income	-.074 (.307)
Left orbitofrontal gyrus	$9.12 * 10^{-4}$	0.138 (.871)	Parental education	-.022 (.769)
			Family income	-.017 (.814)
Right orbitofrontal gyrus	0.002	0.360 (.698)	Parental education	-.029 (.702)
			Family income	-.034 (.638)
Inferior frontal asymmetry measure	0.006	0.908 (.404)	Parental education	-.051 (.509)
			Family income	.101 (.179)
Middle frontal asymmetry measure	0.003	0.380 (.684)	Parental education	.049 (.522)
			Family income	.015 (.841)
Superior frontal asymmetry measure	0.036	5.253 (.006)	Parental education	.133 (.077)
			Family income	.109 (.137)

Note: Standardized regression coefficient and  $p$ -values are also shown for parental education and family income when in the model simultaneously for each ROI.

predicted the ROI while family income did not when the two SES variables were in the model simultaneously.

To further investigate the differential ability of family income and parental education to predict cortical thickness in these ROIs, the model was repeated for the right anterior cingulate gyrus and left superior frontal gyrus using family income and parental education as independent predictors. In the right anterior cingulate gyrus, when controlling for age, sex, total brain volume, race, BMI, and IQ, parental education alone significantly predicted greater thickness ( $\beta = .25$ ,  $p < .001$ ) while family income alone did not predict thickness ( $\beta = .06$ ,  $p = .32$ ). Using the same model to predict thickness in the left superior frontal gyrus, parental education alone significantly predicted greater thickness ( $\beta = .19$ ,  $p = .002$ ), while family income alone did not predict thickness ( $\beta = .02$ ,  $p = .69$ ). Scatter plots of ROI thickness and parental education for the right anterior cingulate gyrus and left superior frontal gyrus are shown in Figures 1 and 2.

When a parental education  $\times$  age interaction was added to the model, model fit improved only in the left orbitofrontal gyrus ( $\Delta R^2 = .02$ ,  $\Delta F(1, 273) = 5.46$ ,  $p = .02$ ) and right orbitofrontal gyrus ( $\Delta R^2 = .02$ ,  $\Delta$

$F(1, 273) = 6.07$ ,  $p = .02$ ). These effects were significant at the uncorrected alpha level of .05, but did not survive Bonferroni correction.

## Discussion

Within this large sample of healthy children, parental education predicted increased cortical thickness in the left superior frontal gyrus and right anterior cingulate gyrus, using a conservative threshold for statistical significance. A measure of superior frontal asymmetry also showed SES differences, although they did not survive stringent correction for multiple comparisons. While SES differences in behavioral measures of executive function and ERP measures of prefrontal cortical function have previously been documented, this study provides novel structural evidence for SES differences in selective regions of the prefrontal cortex. These findings add to the emerging literature suggesting that SES relates to structural brain variation, with other studies of healthy children reporting main effects of SES in the hippocampus (Hanson *et al.*, 2011; Noble *et al.*, 2012) and amygdala (Noble *et al.*, 2012). However, unlike

**Table 3** Change in  $R^2$ , change in  $F$  and regression coefficients for hierarchical regressions for the right anterior cingulate gyrus and left superior frontal gyrus

ROI:	Regression Step	$R^2$ change	$F$ change ( $p$ )	Beta ( $p$ )
Left superior frontal gyrus	Model 1:			
	Age	0.164	8.997 ( $5.51 * 10^{-9}$ )	-.191 (.003)
	Sex (Female)			-.071 (.284)
	Total brain volume			-.214 (.002)
	BMI			-.147 (.023)
	IQ			.015 (.802)
	Race (White)			-.122 (.036)
	Model 2:			
	Age	0.036	6.094 (.003)	-.179 (.005)
	Sex (Female)			-.081 (.213)
	Total brain volume			-.210 (.002)
	BMI			-.138 (.029)
	IQ			-.044 (.479)
	Race (White)			-.144 (.013)
<b>Parental education</b>			<b>.240 (<math>6.09 * 10^{-4}</math>)</b>	
Family income			-.091 (.179)	
Right anterior cingulate gyrus	Model 1:			
	Age	0.033	1.592 (.150)	-.016 (.822)
	Sex (Female)			.021 (.767)
	Total brain volume			-.074 (.318)
	BMI			-.116 (.093)
	IQ			-.047 (.460)
	Race (White)			-.051 (.416)
	Model 2:			
	Age	0.054	8.098 ( $3.83 * 10^{-4}$ )	-.004 (.957)
	Sex (Female)			.002 (.974)
	Total brain volume			-.071 (.325)
	BMI			-.104 (.125)
	IQ			-.129 (.055)
	Race (White)			-.081 (.187)
<b>Parental education</b>			<b>.288 (<math>1.24 * 10^{-4}</math>)</b>	
Family income			-.074 (.307)	

Note: SES variables were added simultaneously in Model 2. Significant SES effects (at Bonferroni-corrected threshold) are shown in bold. BMI = body mass index.

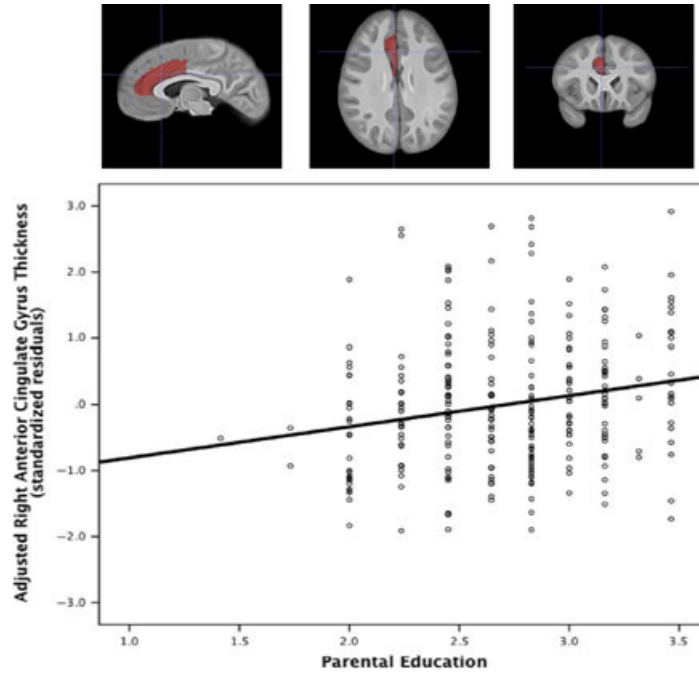
previous studies, the current analyses did not show an SES main effect or age interaction in the left inferior frontal gyrus, which may reflect differences between cortical thickness and volumetric measures. The association between SES and thickness in the right anterior cingulate gyrus is interesting in light of a previous publication from this dataset reporting an association between relatively thin right anterior cingulate cortex and higher scores on the Aggressive Behavior scale of the Child Behavior Checklist (Ducharme, Hudziak, Botteron, Ganjavi, Lepage, Collins, Albaugh, Evans, Karama & the Brain Development Cooperative Group, 2011). Longitudinal studies investigating the environmental and behavioral correlates of right ACC structure will be important to disentangle the relationship between environmental factors, brain development, and behavioral regulation ability.

We did not have a prediction concerning the direction of the relationship between SES and prefrontal cortical thickness. By late childhood, development generally consists of thinning in these areas (Shaw *et al.*, 2008)

which might lead to an expectation of thinner cortex for more advantaged children, the opposite of what we found. Noble *et al.*'s findings are not directly relevant as they concern grey matter volume, not thickness, in different prefrontal regions. Their data showed a trend toward a negative relation between parental education and volume at younger ages and a positive relation at older ages.

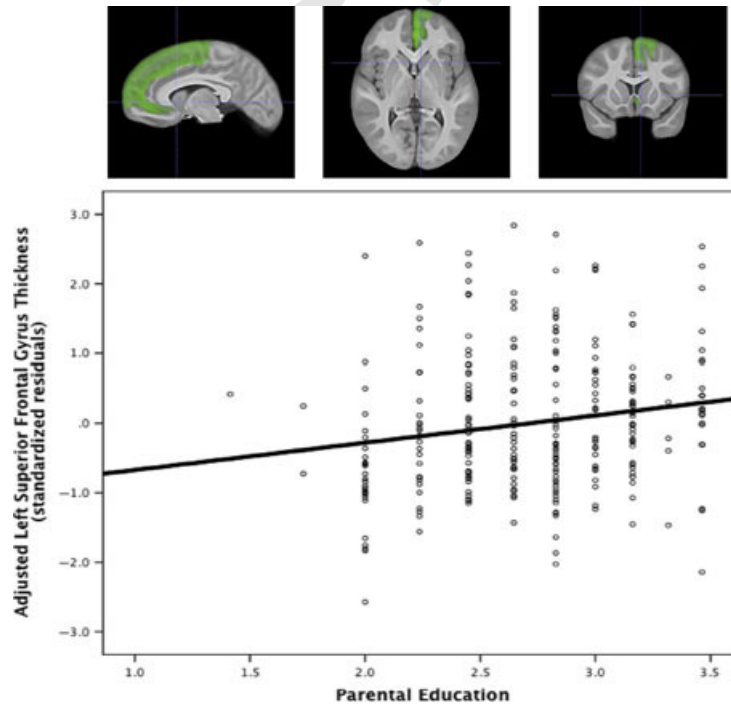
One interesting and unexpected finding was the fact that parental education and family income, while highly correlated, showed strong differences in their ability to predict cortical thickness in frontal regions of interest. Parental education, but not family income, significantly predicted thickness in the right anterior cingulate gyrus and left superior frontal gyrus. The strong difference between the predictive ability of parental education and family income provides support for the argument that SES indicators capture different aspects of environmental and genetic variation and should be treated separately (Braveman, Cubbin, Egerter, Chideya, Marchi, Metzler & Posner, 2005; Duncan & Magnuson, 2012) but the

POOR QUALITY COLOR FIG



**Figure 1** Scatterplot of right anterior cingulate gyrus thickness and parental education. This scatterplot shows the association between the square-root transformed parental education variable and cortical thickness in the right anterior cingulate gyrus. Cortical thickness was adjusted for age, total brain volume, gender, IQ, BMI and race by using the standardized residuals from a model in which these variables predict thickness. **1**

POOR QUALITY COLOR FIG



**Figure 2** Scatterplot of left superior frontal gyrus thickness and parental education. This scatterplot shows the association between the square-root transformed parental education variable and thickness in the left superior frontal gyrus. Cortical thickness was adjusted for age, total brain volume, gender, IQ, BMI and race by using the standardized residuals from a model in which these variables predict thickness. **2**



mechanism for differences between parental education and family income is unclear. This difference may simply reflect differences in the sensitivity of the education and income scales in this dataset, or it may reflect meaningful differences in the genetic or environmental factors associated with these SES measures. One might expect that parental education would relate most closely to cognitive stimulation in the home environment (e.g. Hoff-Ginsberg & Tardif, 1995), while family income might be an important predictor of environmental stress exposure (e.g. Evans & English, 2002). However, little empirical work has addressed the ways in which parental education and family income are differentially associated with environmental factors. Studies investigating differential associations between SES measures and environmental factors are therefore needed to identify specific pathways through which the socioeconomic environment influences child development.

Observed SES differences are particularly striking given that children in this sample met rigorous exclusionary and inclusionary criteria (Evans, 2006), and low-SES children were excluded based on these criteria at higher rates (Waber, De Moor, Forbes, Almlí, Botteron, Leonard, Milovan, Paus, Rumsey & the Brain Development Cooperative Group, 2007). While studies with this healthy sample of children provide important evidence that SES differences exist even among healthy, high-performing children, future studies may improve external validity by using more representative samples of low-SES children.

The observational nature of this study is another important limitation, and results cannot be used to infer the direction of causality. Cortical thickness in frontal regions has been shown to be moderately heritable (Joshi, Leporé, Joshi, Lee, Barysheva, Stein, McMahon, Johnson, de Zubicaray, Martin, Wright, Toga & Thompson, 2011; Winkler *et al.*, 2010) though heritability measures of cognitive (Harden, Turkheimer & Loehlin, 2007; Tucker-Drob, Rhemtulla, Harden, Turkheimer & Fask, 2011; Turkheimer, Haley, Waldron, D'Onofrio & Gottesman, 2003) and structural brain (Chiang, McMahon, de Zubicaray, Martin, Hickie, Toga, Wright & Thompson, 2011) measures have been found to be reduced in low-SES populations. Socioeconomic status is a distal measure that is associated with both genetic and environmental differences (Hackman, Farah & Meaney, 2010), but genetic or proximal environmental factors were not measured in this study, and reported associations between SES and cortical thickness likely reflect combined genetic and environmental influences. Future research on the structural correlates of SES will benefit from including measures of more proximal environmental factors (e.g. stress, cognitive stimulation) and examining the extent to which they mediate the relationship

between SES and brain structure. Early work (Rao, Betancourt, Giannetta, Brodsky, Korczykowski, Avants, Gee, Wang, Hurt, Detre & Farah, 2010) demonstrating associations between specific aspects of the home environment and brain structure suggests that this may be a promising approach. Stress, which is associated both with SES (Cohen, Doyle & Baum, 2006; Evans & English, 2002; Lupien, King, Meaney & McEwen, 2001) and differences in prefrontal brain morphology (Cerquieria, Mailliet, Almeida, Jay & Sousa, 2007; Hanson, Chung, Avants, Rudolph, Shirtcliff, Gee, Davidson & Pollak, 2012; Lupien, McEwen, Gunnar & Heim, 2009; McEwen & Gianaros, 2011), may be another proximal environmental factor that provides a link between SES and prefrontal structure.

It is important to note that the identification of structural correlates of SES does not in any way imply that these SES differences are innate or unchangeable. Indeed, an emerging body of research demonstrates that structural brain measures (Draganski & May, 2008; Ilg, Wohlschläger, Gaser, Liebau, Dauner, Wöller, Zimmer, Zihl & Mühlau, 2008; Keller & Just, 2009; Mackey, Whitaker & Bunge, 2012; Rosenzweig, 2003), including cortical thickness (Haier, Karama, Leyba & Jung, 2009), can be changed by environmental experience. It is our hope that identifying specific structural phenotypes that vary with socioeconomic status will lead to a better understanding of the mechanisms contributing to SES disparities in health and achievement, and, ultimately, will be used to design more effective policies and interventions that reduce these disparities.

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## Disclaimer

This manuscript reflects the views of the authors and may not reflect the opinions or views of the NIH.

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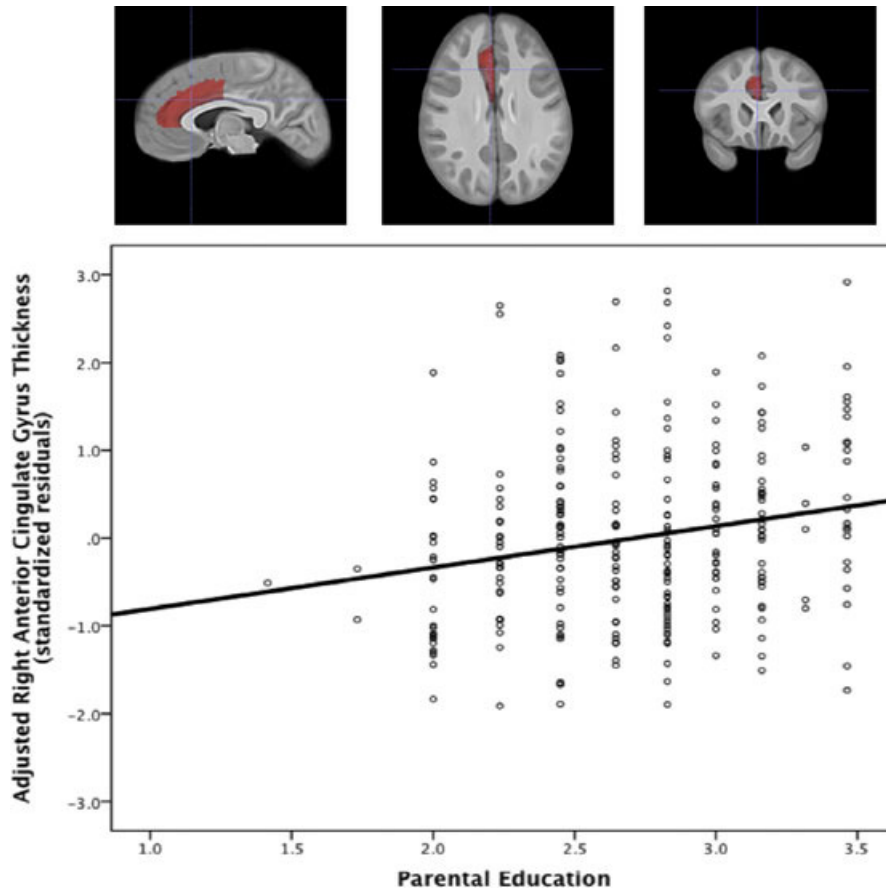
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## Graphical Abstract

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- 3 Childhood socioeconomic status (SES) predicts executive function performance and measures of prefrontal cortical function, but little is known about its anatomical correlates. Structural MRI and demographic data from a sample of 283 healthy children from the NIH MRI Study of Normal Brain Development were used to investigate the relationship between SES and prefrontal cortical thickness. Specifically, we assessed the association between two principal measures of childhood SES, family income and parental education, and gray matter thickness in specific subregions of prefrontal cortex and on the asymmetry of these areas.

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Query reference	Query	Remarks
1	<b>AUTHOR: Figure 1 is of poor quality. Please check required artwork specifications at <a href="http://authorservices.wiley.com/bauthor/illustration.asp">http://authorservices.wiley.com/bauthor/illustration.asp</a></b>	
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# MARKED PROOF

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<i>Instruction to printer</i>	<i>Textual mark</i>	<i>Marginal mark</i>
Leave unchanged	... under matter to remain	Ⓟ
Insert in text the matter indicated in the margin	∧	New matter followed by ∧ or ∧ <sup>Ⓢ</sup>
Delete	/ through single character, rule or underline or ┌───┐ through all characters to be deleted	Ⓞ or Ⓞ <sup>Ⓢ</sup>
Substitute character or substitute part of one or more word(s)	/ through letter or ┌───┐ through characters	new character / or new characters /
Change to italics	— under matter to be changed	↵
Change to capitals	≡ under matter to be changed	≡
Change to small capitals	≡ under matter to be changed	≡
Change to bold type	~ under matter to be changed	~
Change to bold italic	≈ under matter to be changed	≈
Change to lower case	Encircle matter to be changed	≡
Change italic to upright type	(As above)	⊕
Change bold to non-bold type	(As above)	⊖
Insert 'superior' character	/ through character or ∧ where required	Y or Y under character e.g. Y or Y
Insert 'inferior' character	(As above)	∧ over character e.g. ∧
Insert full stop	(As above)	⊙
Insert comma	(As above)	,
Insert single quotation marks	(As above)	Y or Y and/or Y or Y
Insert double quotation marks	(As above)	Y or Y and/or Y or Y
Insert hyphen	(As above)	H
Start new paragraph	┌	┌
No new paragraph	┐	┐
Transpose	┌┐	┌┐
Close up	linking ○ characters	○
Insert or substitute space between characters or words	/ through character or ∧ where required	Y
Reduce space between characters or words		↑